

UTILITY PATENT APPLICATION TRANSMITTAL

(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
YKI-0004-C

Total Pages in this Submission
39

TO THE COMMISSIONER FOR PATENTS

Mail Stop Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

DISPLAY APPARATUS HAVING ELECTROLUMINESCENCE ELEMENTS

and invented by:

Kiyoshi Yoneda

22241 U.S. PTO
10/629058



If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/258,499

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 15 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☒ Cross References to Related Applications (if applicable)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Sequence Listing, a Table, or a Computer Program Listing Appendix
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings (if filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

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Application Elements (Continued)

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☒ Formal Number of Sheets 5
- b. ☐ Informal Number of Sheets _____
4. ☒ Oath or Declaration
- a. ☐ Newly executed (original or copy) ☐ Unexecuted
- b. ☒ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☐ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference (usable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.
6. ☐ CD ROM or CD-R in duplicate, large table or Computer Program (Appendix)
7. ☐ Application Data Sheet (See 37 CFR 1.76)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)
- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper
- c. ☐ Statement(s) Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail (Specify Label No.): EV310329899US

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YKI-0004-C

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Accompanying Application Parts (Continued)

17. ☒ Additional Enclosures (please identify below):


Change of Correspondence Address

Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)

18. ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

Warning

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

<div style="display: flex; align-items: center;"><div style="writing-mode: vertical-rl; transform: rotate(180deg); font-size: small; margin-right: 5px;">07/28/03</div><div style="text-align: center;"><div style="display: flex; flex-direction: column; align-items: center;"><div style="writing-mode: vertical-rl; transform: rotate(180deg); font-size: x-small;">11324 U.S. PTO</div><div style="font-size: x-small;">UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity) <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i></div></div></div></div>	<div style="border: 1px solid black; padding: 2px; margin-bottom: 5px;">Docket No. YKI-0004-C</div> <div style="border: 1px solid black; padding: 2px;">Total Pages in this Submission 39</div>																																										
	<div style="writing-mode: vertical-rl; transform: rotate(180deg); font-size: x-small;">22241 U.S. PTO 10/629058</div> <div style="writing-mode: vertical-rl; transform: rotate(180deg); font-size: x-small;">07/28/03</div>																																										
Fee Calculation and Transmittal																																											
CLAIMS AS FILED																																											
<table border="1" style="width: 100%; border-collapse: collapse;"><thead><tr><th style="width: 15%;">For</th><th style="width: 10%;">#Filed</th><th style="width: 15%;">#Allowed</th><th style="width: 10%;">#Extra</th><th style="width: 10%;">Rate</th><th style="width: 40%;">Fee</th></tr></thead><tbody><tr><td>Total Claims</td><td style="text-align: center;">6</td><td style="text-align: center;">- 20 =</td><td style="text-align: center;">0</td><td style="text-align: center;">x \$18.00</td><td style="text-align: right;">\$0.00</td></tr><tr><td>Indep. Claims</td><td style="text-align: center;">2</td><td style="text-align: center;">- 3 =</td><td style="text-align: center;">0</td><td style="text-align: center;">x \$84.00</td><td style="text-align: right;">\$0.00</td></tr><tr><td colspan="5">Multiple Dependent Claims (check if applicable) <input type="checkbox"/></td><td style="text-align: right;">\$0.00</td></tr><tr><td colspan="5" style="text-align: right;">BASIC FEE</td><td style="text-align: right;">\$750.00</td></tr><tr><td colspan="5">OTHER FEE (specify purpose) _____</td><td style="text-align: right;">\$0.00</td></tr><tr><td colspan="5" style="text-align: right;">TOTAL FILING FEE</td><td style="text-align: right;">\$750.00</td></tr></tbody></table>		For	#Filed	#Allowed	#Extra	Rate	Fee	Total Claims	6	- 20 =	0	x \$18.00	\$0.00	Indep. Claims	2	- 3 =	0	x \$84.00	\$0.00	Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00	BASIC FEE					\$750.00	OTHER FEE (specify purpose) _____					\$0.00	TOTAL FILING FEE					\$750.00
For	#Filed	#Allowed	#Extra	Rate	Fee																																						
Total Claims	6	- 20 =	0	x \$18.00	\$0.00																																						
Indep. Claims	2	- 3 =	0	x \$84.00	\$0.00																																						
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BASIC FEE					\$750.00																																						
OTHER FEE (specify purpose) _____					\$0.00																																						
TOTAL FILING FEE					\$750.00																																						
<div><input checked="" type="checkbox"/> A check in the amount of \$750.00 to cover the filing fee is enclosed.</div> <div><input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 06-1130 as described below.<div style="margin-left: 20px;"><input type="checkbox"/> Charge the amount of _____ as filing fee.<div><input checked="" type="checkbox"/> Credit any overpayment.</div><div><input checked="" type="checkbox"/> Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.</div><div><input type="checkbox"/> Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).</div></div></div>																																											


Signature

Lisa A. Bongiovi
Registration No.: 48,933
Customer No.: 23413

Dated: July 28, 2003

cc:

**CHANGE OF
CORRESPONDENCE ADDRESS****Application**

Address to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Application Number

Filing Date

First Named Inventor

Kiyoshi Yoneda

Art Unit

Examiner Name

Attorney Docket Number

YKI-0004-C

Please change the Correspondence Address for the above-identified application
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I am the :



Applicant/Inventor.



Assignee of record of the entire interest.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).



Attorney or Agent of record. Registration Number

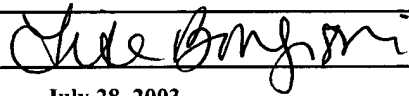


Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number

Typed or Printed
Name

Lisa A. Bongiovi, Registration No. 48,933

Signature



Date

July 28, 2003

Telephone

(860) 286-2929

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.




*Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending on the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Kiyoshi Yoneda			Docket No. YKI-0004-C
Serial No.	Filing Date	Examiner	Group Art Unit
Invention: DISPLAY APPARATUS HAVING ELECTROLUMINESCENCE ELEMENTS			
I hereby certify that the following correspondence: <div>Utility Patent Application Transmittal</div> <p>(Identify type of correspondence)</p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on</p> <p><u>July 28, 2003</u> (Date)</p> <p>Patricia A. Hart (Typed or Printed Name of Person Mailing Correspondence)  (Signature of Person Mailing Correspondence)</p> <p>EV310329899US ("Express Mail" Mailing Label Number)</p>			
Note: Each paper must have its own certificate of mailing.			